

SUBMISSION FORM

NEW BUSINESS

COMPANY NAME:		COMPANY REGISTER NUMBER:	
PARTNER NAME:		PARTNER CODE:	
CLIENT NAME:		CLIENT DOB:	

CASE TYPE

Please select S or R

PRODUCT	AMOUNT	SINGLE / REGULAR
		S <input type="radio"/> R <input type="radio"/>
		S <input type="radio"/> R <input type="radio"/>
		S <input type="radio"/> R <input type="radio"/>

Where an Investment Bond is being recommended over a Unit Trust Feeder please confirm why.

For pension contributions please provide details of the client’s employer scheme and the company PAYE number.

CLIENT NEEDS & OBJECTIVES

Please confirm brief details of the client’s needs with regard to this particular investment:

ADVICE & OTHER RELEVANT DETAILS
(E.G. TYPE OF TRUST BEING USED)

Please confirm details of the recommendation that you feel would best suit the client needs and provide us with any research you have already carried out:

PLEASE CONFIRM THE CLIENT'S
ATTITUDE TO RISK AS EITHER

Please select one box

LOWER MEDIUM	<input type="radio"/>	MEDIUM	<input type="radio"/>
UPPER MEDIUM	<input type="radio"/>	HIGH	<input type="radio"/>

PLEASE CONFIRM %
OF INVESTMENT IN THE
FOLLOWING FUNDS / PORTFOLIOS

FUND	%

DECLARATION

I am authorised to act on behalf of the client whose details and information I have provided in this Submission Form. I confirm that the information contained in this Submission Form is accurate and up-to-date.

By signing I confirm I am requesting Huntswood to provide paraplanning services in relation to the subject matter of this Submission Form in accordance with the Paraplanning Framework Agreement signed between the Partner named above and Huntswood CTC Limited ("Huntswood") . I agree that all the terms and conditions contained in the Framework Agreement apply to this Submission Form. I further agree and acknowledge that this Submission Form will not form a legally binding agreement between us unless and until Huntswood issues an acknowledgement in respect of this Submission Form.

.....
Signature [Please print out, sign and scan this document](#)

.....
Print name

.....
Date