SUBMISSION FORM

INVESTMENTS

COMPANY NAME:	l l l l l l l l l l l l l l l l l l l	COMPANY REGISTER NUMBER:	
PARTNER NAME:	F	PARTNER CODE:	
CLIENT NAME:	l l l l l l l l l l l l l l l l l l l	CLIENT DOB:	

CASE TYPE

CEDING PROVIDERS DETAILS

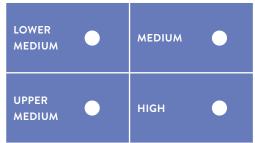
	PLEASE TICK		CEDING PROVIDER NAME	PLAN TYPE	PLAN NUMBER
DOES THE CASE INVOLVE ANY ESTATE PLANNING	\bigcirc				
Please note if a DGP is being recomm the client is over 80 or in ill health a D will need to be completed.	ended and 9GP Prelim				
BOND OR UNIT TRUST / OEIC TO BO	ND (& ISA)				
BOND OR UNIT TRUST / OEIC TO UT	F (& ISA)	If n	S THE CLIENT(S) MAXIMISED THIS TAX YEAR'S IS to how much of the ISA allowance remains: f A UNIT TRUST FEEDER IS NOT BEING RECOMME		
DGP			AN ISA OR UNIT TRUST IS BEING RECOMMENDE WHAT FORMAT WILL KIID(S) BE GIVEN	D ONLIN	
LOAN PLAN			LIENT NEEDS & OBJECTIVES ase confirm brief details of the clients's needs with	regard to this parti	cular investment:
ISA TO ISA					
OTHER					
Please note unless a client has maxim allowance for the tax year this will be t recommendation for any non ISA or I	he default				

ADVICE

Please confirm details of the recommendation that you feel would best suit the client needs plus the reasons why the client is unhappy with their existing provider, these reasons need to be detailed and client specific:

PLEASE CONFIRM THE CLIENT'S ATTITUDE TO RISK AS EITHER

Please select one box



PLEASE CONFIRM % OF INVESTMENT IN THE FOLLOWING FUNDS / PORTFOLIOS

FUND	%

.....

Please submit a Confidential Review (sections 1 and 4) with this Submission Form along with a client authority so we can obtain relevant details from the ceding providers. For IHT cases section 8 of the Fact Find will also be required.

DECLARATION

I am authorised to act on behalf of the client whose details and information I have provided in this Submission Form. I confirm that the information contained in this Submission Form is accurate and up-to-date.

By signing I confirm I am requesting Huntswood to provide paraplanning services in relation to the subject matter of this Submission Form in accordance with the Paraplanning Framework Agreement signed between the Partner named above and Huntswood CTC Limited ("Huntswood"). I agree that all the terms and conditions contained in the Framework Agreement apply to this Submission Form. I further agree and acknowledge that this Submission Form will not form a legally binding agreement between us unless and until Huntswood issues an acknowledgement in respect of this Submission Form.

Signature Please print out, sign and scan this document

Print	name

Date

HUNTSWOOD